

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence Binder et al.

Serial No.: 10/826,285

Filed:

April 19, 2004

Conf. No.:

9932

Title:

Bone Fixation Plate

Examiner:

Unknown

Group Art Unit:

Docket:

0218.007.0002

Customer No.:

43446

3732

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form PTO/SB/08 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the Form PTO/SB/08, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicants believe that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicants have not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicants respectfully request that notice of such requirement be directed to Applicants' below-signed representative.

INFORMATION DISCLOSURE STATEMENT

Serial No :10/826,285

Filing Date: April 19, 2004 Title: Bone Fixation Plate Dkt: 0218.007.0002

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the

Information Disclosure Statement. However, if an Office Action on the merits has been mailed,

the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 50-

3120 to have this Information Disclosure Statement considered. The Examiner is invited to

contact the Applicants' Representative at the below-listed telephone number if there are any

questions regarding this communication.

Respectfully submitted,

Date: \_\_July 21, 2006

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PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known

Application Number 149/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

JUL 2 7 2006

Sheet 1

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/826,285		
Filing Date	April 19, 2004	-	
First Named Inventor	BINDER		
Art Unit	3732		
Examiner Name	Unknown		
Attorney Docket Number	0218.007.0002		

**U. S. PATENT DOCUMENTS** Examine Publication Date Name of Patentee or Pages, Columns, Lines, Where Cite Document Number Initials\* MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Number-Kind Code<sup>2</sup> (# known) Figures Appear US- 4,484,570 11-27-1984 Synthes Ltd. <sup>US-</sup> 6,235,033 05-22-2001 Synthes (USA) US- 6,503,250 B2 01-07-2003 Paul, K <sup>US-</sup> 6.692,503 B2 02-17-2004 SDGI Holdings, Inc. <sup>US-</sup> 6,695,846 02-24-2004 Spinal Innovations, LLC US-US-US-US-US-US-US-US-US-TIS US-LIS HS

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	•••	Or Relevant Figures Appear	٦
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (07-05) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO	Complete if Known		
Substitute for form 1449/FTO	Application Number	10/826,285	
INFORMATION DISCLOSURE	Filing Date	April 19, 2004	
STATEMENT BY APPLICANT	First Named Inventor	BINDER	
	Art Unit	3732	
(Use as many sheets as necessary)	Examiner Name	Unknown	
Sheet 2 of 2	Attorney Docket Number	0218.007.0002	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Haid et al., "The Cervical Spine Study Group anterior cervical plate nomenclature," Neurosurg. Focus/Volume 12, Jan. 2002	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.